

Receipt

ATTORNEY DOCKET NO. 15006.0009
PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Ott *et al.*

Serial No.

09/314,052

Filed: May 18, 1999

For: **"METHOD AND APPARATUS FOR
CONDITIONING GAS FOR MEDICAL
PROCEDURES"**

RECEIVED
MAY 19 2000
TECHNOLOGY CENTER 3700

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Washington, D.C. 20231

NEEDLE & ROSENBERG, P.C.
Suite 1200, The Candler Building
127 Peachtree Street, N.E.
Atlanta, Georgia 30303-1811

April 26, 2000

Sir:

The Filing Receipt issued in the above-referenced case, a copy of which is enclosed,
incorrectly recites the title (copy enclosed). The correct title for this application is as follows:

**METHOD AND APPARATUS FOR CONDITIONING
GAS FOR MEDICAL PROCEDURES**

Enclosed is a copy of the originally-filed Patent Application Transmittal Cover Sheet
with the title correctly recited thereon.

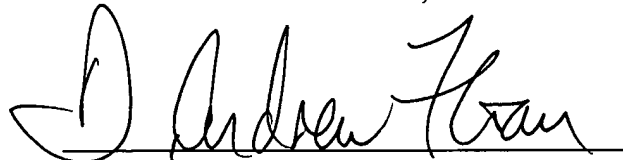
Therefore, Applicant respectfully requests that the Commissioner issue a corrected filing

receipt indicating the correct title.

No additional fees are believed due.

Respectfully submitted,

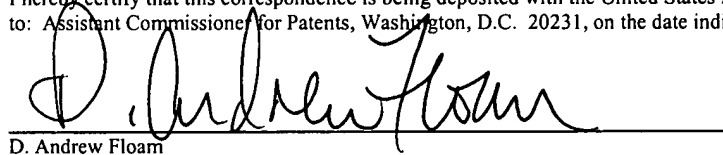
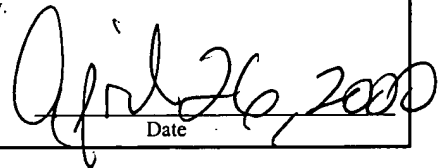
NEEDLE & ROSENBERG, P.C.



D. Andrew Floam
Registration No. 34,579

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(404) 688-0770

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.


D. Andrew Floam
Date



ATTORNEY DOCKET NO. 15006.0009

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

NEEDLE & ROSENBERG, P.C.
Suite 1200, The Candler Building
127 Peachtree Street, N.E.
Atlanta, Georgia 30303-1811

May 18, 1999

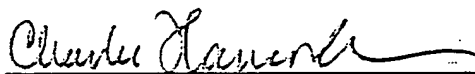
Dear Sir:

Transmitted herewith for filing are the specification and claims of the patent application of:

Inventor(s): Douglas E. Ott, John F. Schaefer, and Robert I. Gray

Title of Invention: "METHOD AND APPARATUS FOR CONDITIONING GAS FOR MEDICAL PROCEDURES"

Also enclosed are:

5	SHEETS OF	<input type="checkbox"/> FORMAL DRAWINGS	<input checked="" type="checkbox"/> INFORMAL DRAWINGS
	OATH OR DECLARATION OF APPLICANT(S)		
	A POWER OF ATTORNEY		
	A PRELIMINARY AMENDMENT		
	A VERIFIED STATEMENT TO ESTABLISH SMALL ENTITY STATUS UNDER 37 C.F.R. §1.9 AND §1.27		
	A CHECK IN THE AMOUNT OF TO COVER THE FILING FEE.		
	A CERTIFIED COPY OF PREVIOUSLY FILED FOREIGN APPLICATION NO. FILED IN ON .		
X	I hereby certify that this correspondence is being placed in the United States Mail as Express Mail No. EL211203115US on <u>18th</u> day of <u>May</u> , 1999.  Charles Hancock 18 May 99 DATE		
	A computer readable form of the sequence listing in compliance with 37 C.F.R. § 1.821(e). The content of the computer readable form of the sequence listing and the sequence listing in the specification of the application as filed are the same.		
	OTHER (IDENTIFY)		



The filing fee is calculated as follows.

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

TOTAL CLAIMS = $71 - 20 = 51 \times \$18.00 =$	\$918.00
INDEPENDENT CLAIMS = $3 - 3 = 0 \times \$78.00 =$	0.00
BASIC FEE =	\$760.00
TOTAL OF ABOVE CALCULATIONS =	\$1,678.00
REDUCTION BY 1/2 FOR SMALL ENTITY =	\$839.00
TOTAL FILING FEE =	\$839.00

Respectfully submitted,

D. Andrew Floam
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CORRECTED FILING RECEIPT



OC000000004995003

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/314,052	05/18/1999	3734	904	15006.0009	5	71	3

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ATLANTA, GA 30303-1811

WHA/DAJ
DOCKETED
By DMW Date: 3/21/00
Reviewed: _____ Name/Date _____

Date Mailed: 03/16/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

DOUGLAS E. OTT, MACON, GA UNITED STATES ;
JOHN F. SCHAEFER, HIGH ROLLS, NM UNITED STATES ;
ROBERT I. GRAY, MACON, GA UNITED STATES ;

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CIP OF 09/081,186 05/19/1998

Foreign Applications

If Required, Foreign Filing License Granted 06/09/1999

**** SMALL ENTITY ******Title**

METHOD AND APPARATUS FOR CONDITIONING GAS FOR MEDICAL PROCEDURES HAVING
HUMIDITY MONITORING AND RECHARGE ALERT

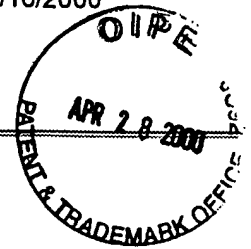
Preliminary Class

604

Data entry by : STOKES, TUSHOMBE

Team : OIPE

Date: 03/16/2000

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

FILE COPY

Bib Data Sheet

**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

4

SERIAL NUMBER 09/314,052	FILING DATE 05/18/1999 RULE -	CLASS 604	GROUP ART UNIT 3734	ATTORNEY DOCKET NO. 15006.0009
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APPLICANTS
DOUGLAS E. OTT, MACON, GA UNITED STATES;
JOHN F. SCHAEFER, HIGH ROLLS, NM UNITED STATES;
ROBERT I. GRAY, MACON, GA UNITED STATES;

**** CONTINUING DATA *******
THIS APPLICATION IS A CIP OF 09/081,186 05/19/1998

**** FOREIGN APPLICATIONS *******

IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** SMALL ENTITY **
** 06/09/1999

Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no	STATE OR COUNTRY GA	SHEETS DRAWING 5	TOTAL CLAIMS 71	INDEPENDENT CLAIMS 3
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged Examiner's Signature <u>[Signature]</u> Initials <u>MM</u>				

ADDRESS
D ANDREW FLOAM ESQ
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ATLANTA ,GA 30303-1811

TITLE
METHOD AND APPARATUS FOR CONDITIONING GAS FOR MEDICAL PROCEDURES

FILING FEE RECEIVED 904	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees (Filing)
		<input type="checkbox"/> 1.17 Fees (Processing Ext. of time)
		<input type="checkbox"/> 1.18 Fees (Issue)
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit